

Characterize Star Formation and Gas Properties in QSOs and SMGs at $z > 4$ via Sub-millimeter Line Spectroscopy

Nanyao Lu

National Astronomical Observatories, Beijing, China (NAOC)

and

China-Chile Center for Astronomy, Santiago, Chile

People involved in ALMA sub-mm line observations:

Y. Gao (PMO), Y. Zhao (YNAO), T. Cao, J. Huang, Z. Wang (NAOC),
China

T. Díaz-Santos (U. Diego Portales), G. Privon (U. Catolica),
C. Cheng (U. Valparaiso), Chile

L. Armus, J. Howell, B. Schulz, J. Mazzarella, J. Surace, C. K. Xu,
(Caltech), A. Evans (U. Virginia/NRAO), A. Petric (Gemini), D.
Sanders (U. Hawaii), USA

V. Charmandaris (U. of Crete), Dimitra Rigopoulou (U. Oxford),
P. Van der Werf (Leiden Obs), Europe

+ More

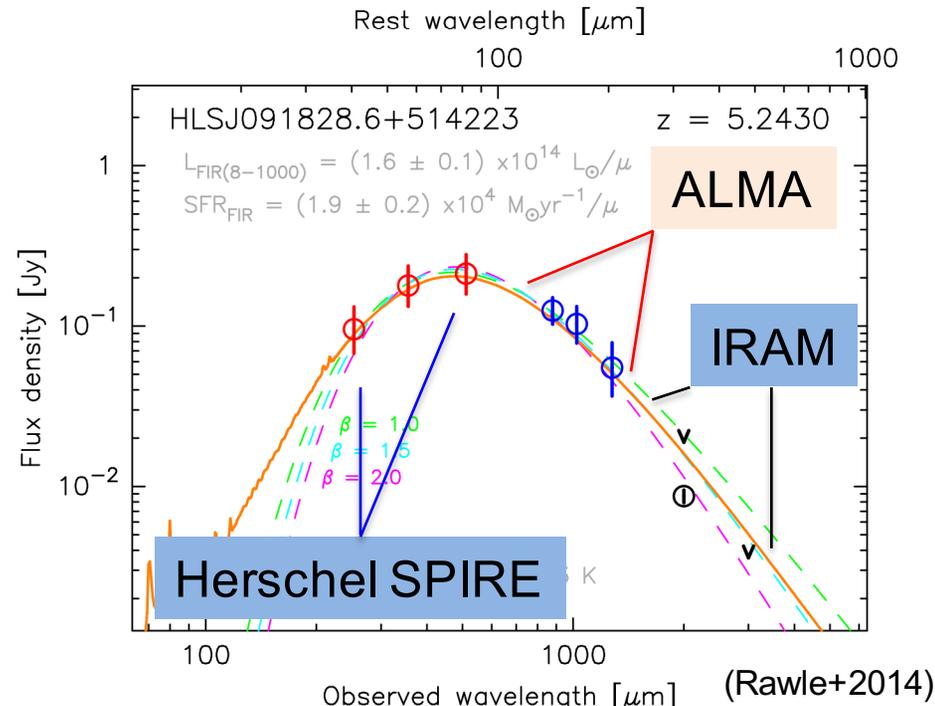
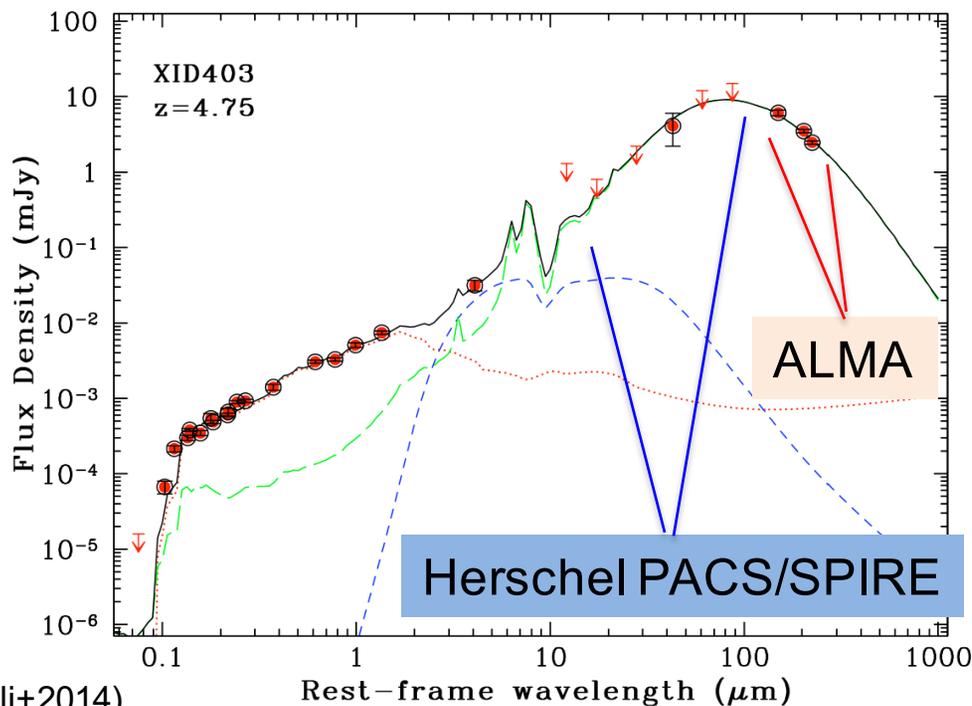
Talk Outline

- Challenges in characterizing the star formation (SF) in galaxies at high z
- Introducing a new, efficient spectroscopic approach for **ALMA**
- Some application examples at $4 < z < 5$
- Summary

Conventional Approach: Full Dust SED Analysis

LESS J033229.4-275619 ($z = 4.75$)

HLSJ091828.6+514223 ($z = 5.24$)



$$L_{\text{IR}} = (5.9 \pm 0.9) \times 10^{12} L_{\odot}$$

$$C(60\mu\text{m}/100\mu\text{m})_{\text{rest}} = 0.9$$

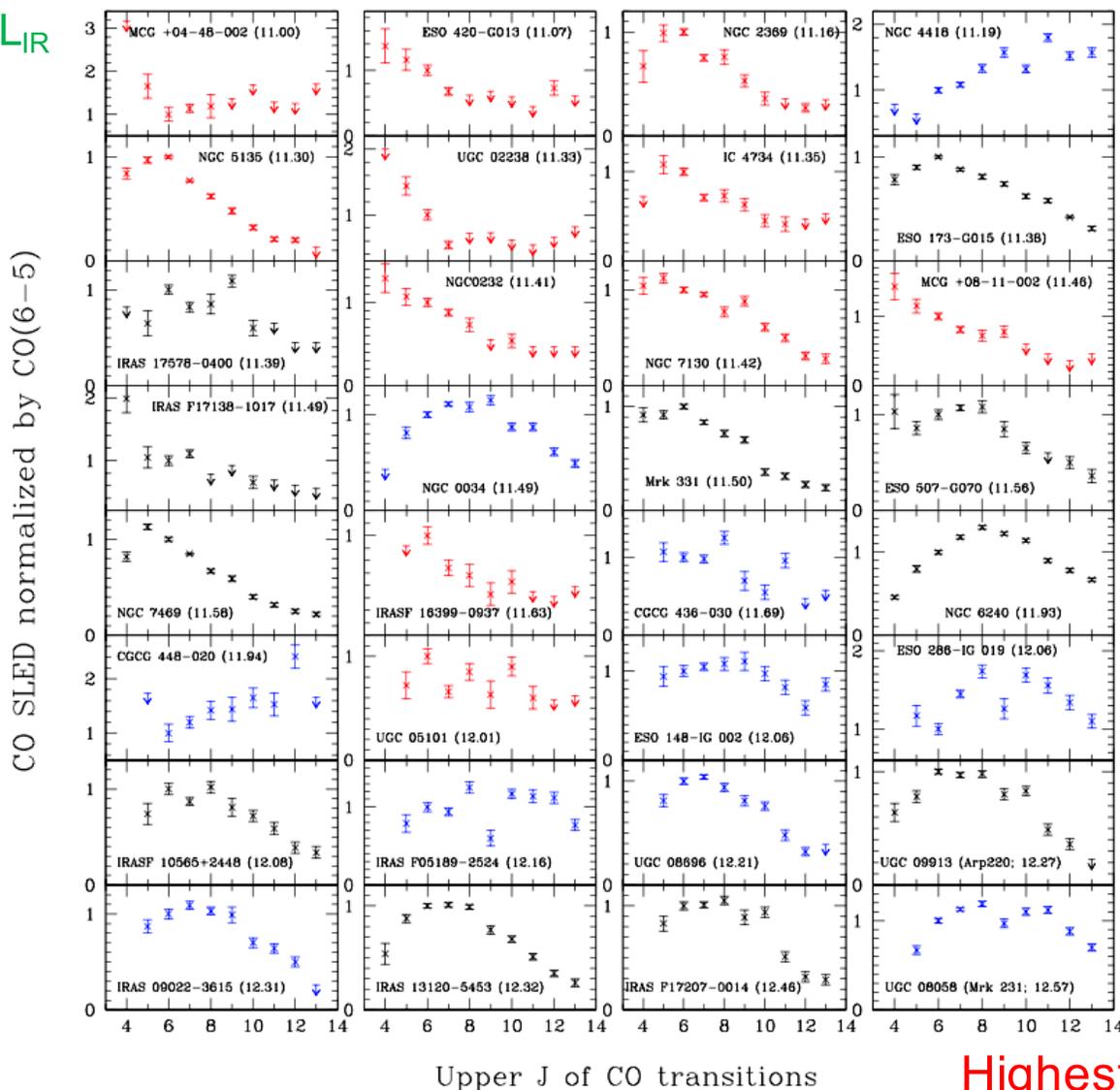
$$L_{\text{IR}} = (160 \pm 10) \times 10^{12} L_{\odot}$$

$$C(60\mu\text{m}/100\mu\text{m})_{\text{rest}} = 1.0$$

Reasonably accurate L_{IR} and T_{dust} often require observations with multiple facilities; also, continuum measurements are against brighter CMB at high z (da Cunha+2013)

CO Spectral Line Energy Distribution (SLEDs)

Lowest L_{IR}

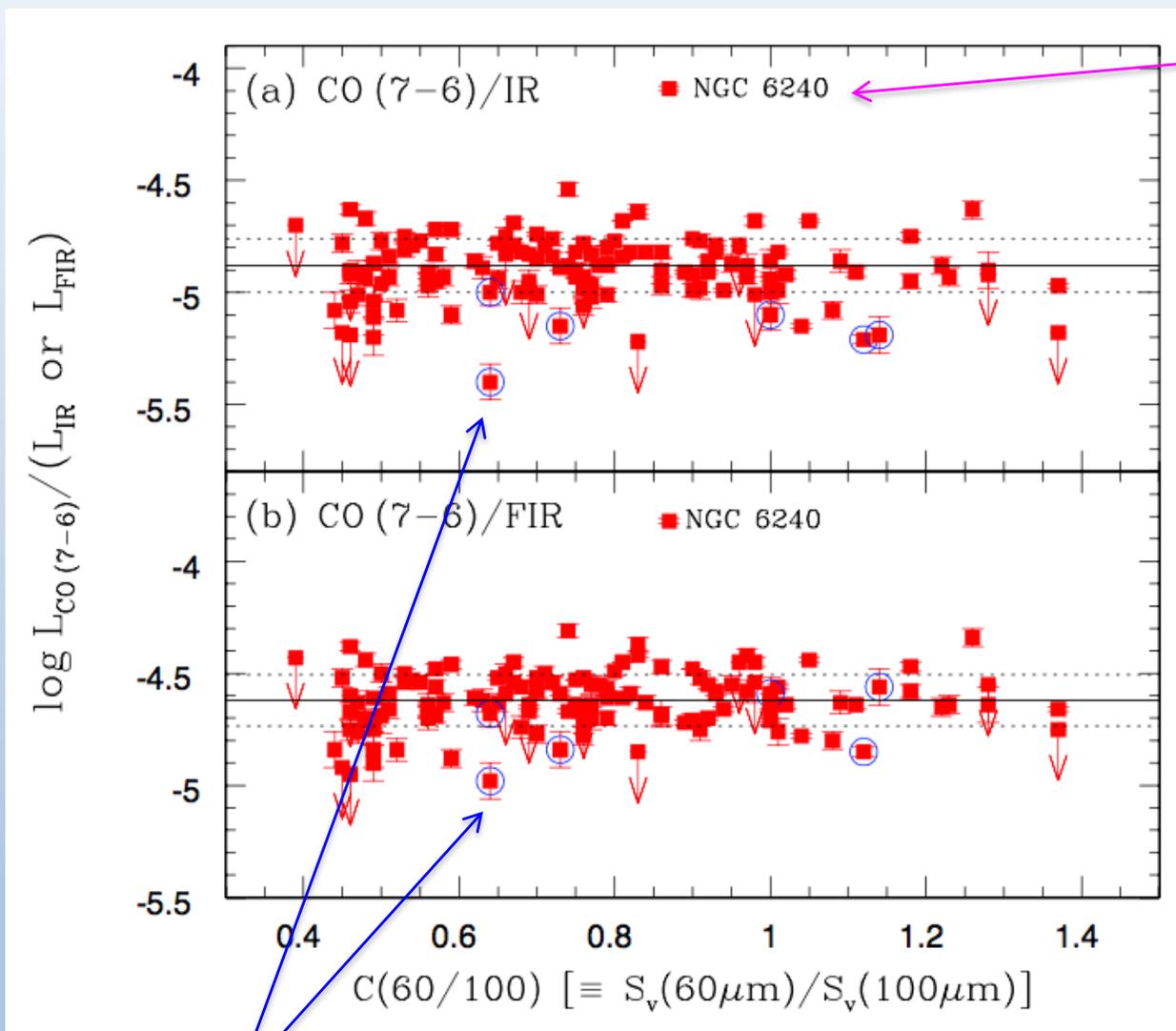


Lu+14,17a:
 Herschel/SPIRE 200-700 μm
 spectroscopy of 125 LIRGs
 from GOALS sample

CO SLED shape depends
 on FIR color $C(60/100)$
 $[\equiv S_\nu(60\mu\text{m})/S_\nu(100\mu\text{m})]$:

- Red: relatively cold
- Black: Intermediate
- Blue: warm

Mid-J CO Line Emission Traces SF Rate (SFR)



A rare case (see Lu+14)

$L_{\text{CO(7-6)}} / L_{\text{IR}}$ is

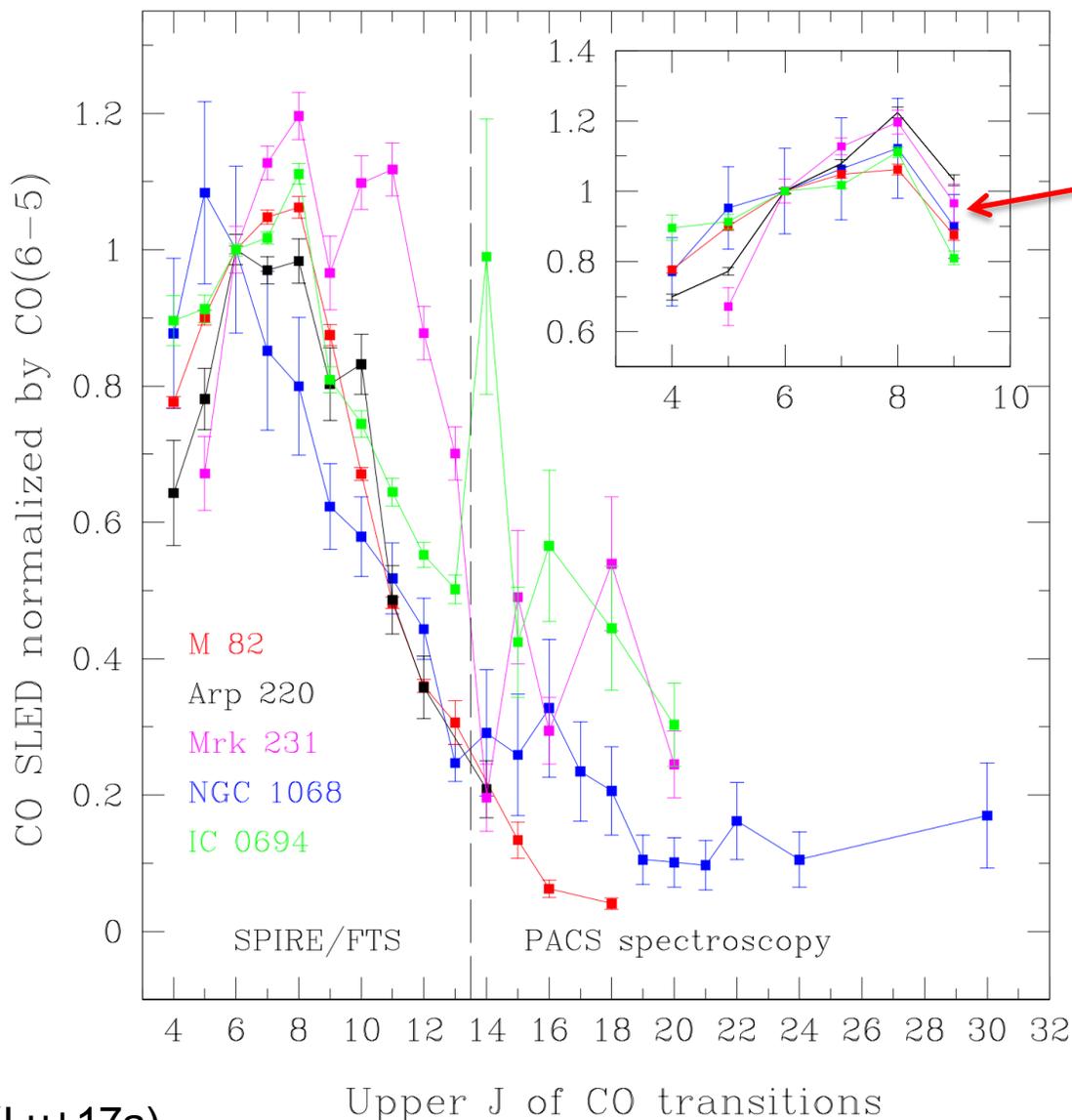
- independent of FIR color to within 30% for SF-dominated LIRGs;
- however lower for AGNs due to AGN contamination to L_{IR} , i.e., vertically offset by $\log(1 - L_{\text{AGN}}/L_{\text{IR}})$

IR luminosity of the AGN

- Circled in blue: 6 dominant AGNs (i.e., >50% of L_{IR} is from AGN)

(Lu+14,15,17a)

AGN Gas Heating Impacts CO Lines at $J > 10$



After adjustment for the dependence of the mid-J SLED shape on the FIR color for SF-dominated LIRGs (Lu+2017a)

Color Coding

starbursts:	M82; Arp 220
dominant AGNs:	Mrk231; NGC 1068
possible dominant AGN:	IC 0694 (=Arp 299A)

(Lu+17a)

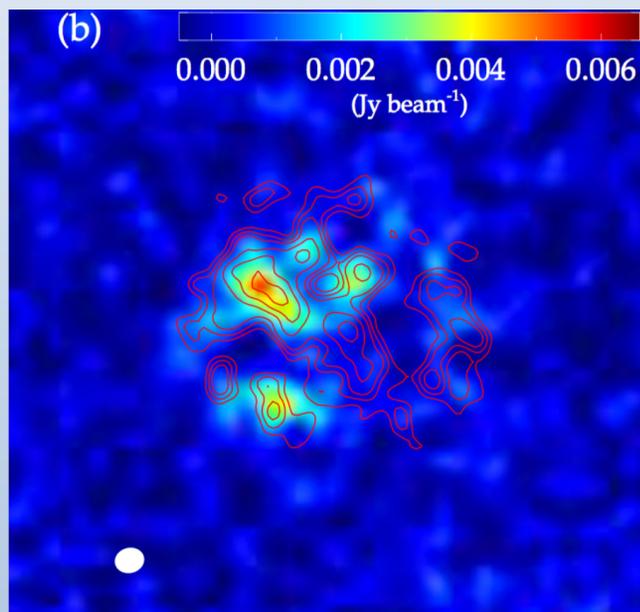
ALMA Cases: SF vs. AGN

IC 5179: Starburst

($\log L_{\text{IR}} = 11.24$; FIR color = 0.52)

Image: continuum @434 μm

Contours: CO (6-5) @0.14: res (35 pc)



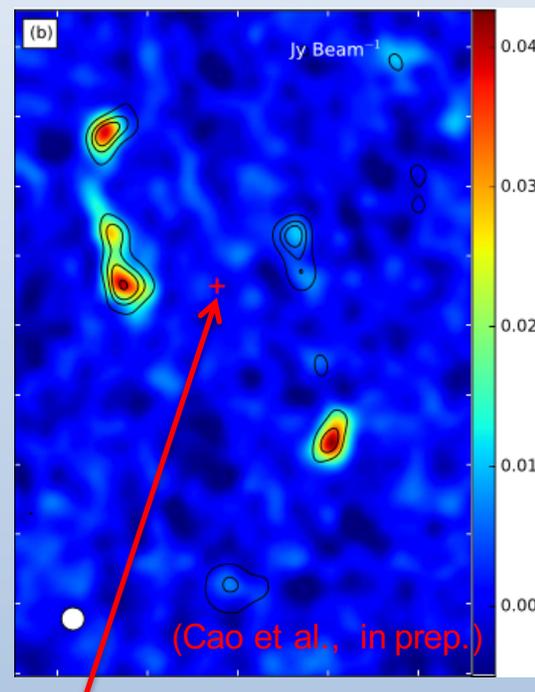
(Zhao et al. 2017.)

NGC 5135 : Seyfert 2

($\log L_{\text{IR}} = 11.30$; FIR color = 0.54)

Image: continuum @434 μm ;

contours: CO (6-5) @0.4" res. (120 pc)



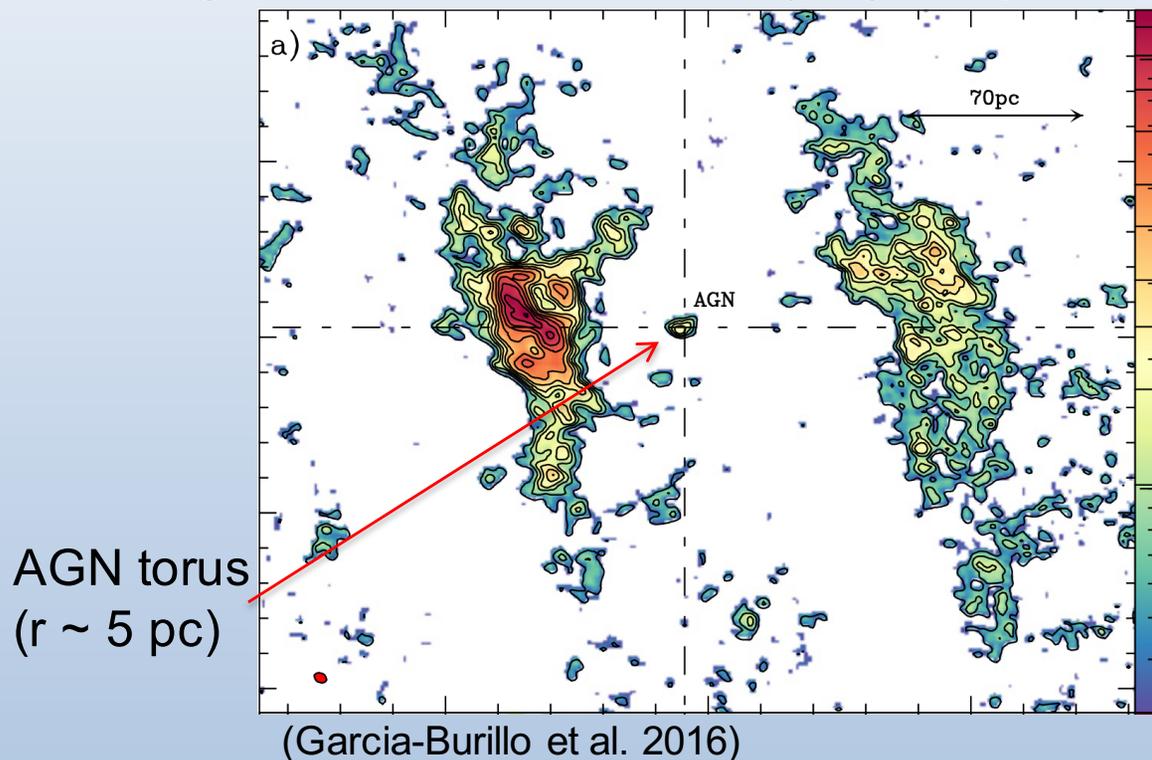
CO (6-5) not detected at the AGN position

In both cases, CO (6-5) / $S_{\nu}(434 \mu\text{m})$ varies between $\sim 1,000$ and $\sim 4,000 \text{ km s}^{-1}$
 → Similar heating mechanism for CO (6-5) in both cases: most likely to be SN-powered shock heating

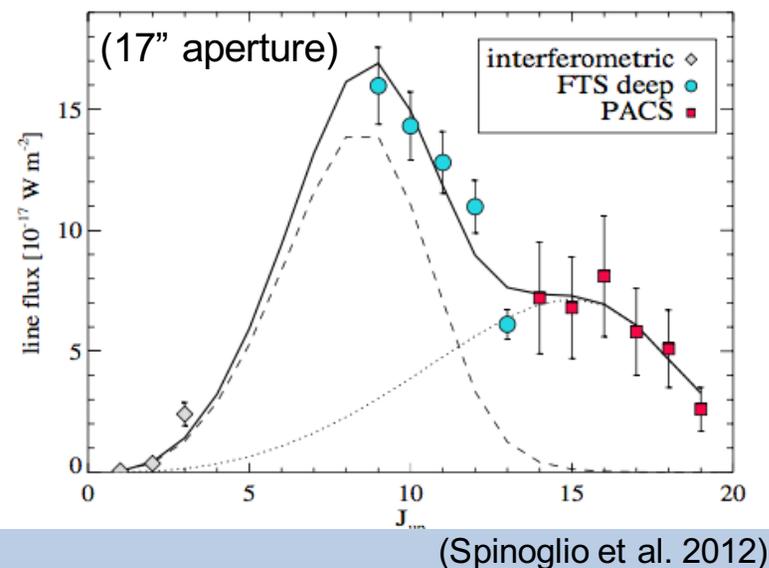
Another ALMA AGN Example: NGC 1068

($\log L_{\text{IR}} = 11.4$, FIR color = 0.76; Seyfert 2)

Image & contours: ALMA CO (6-5) at 4 pc resolution

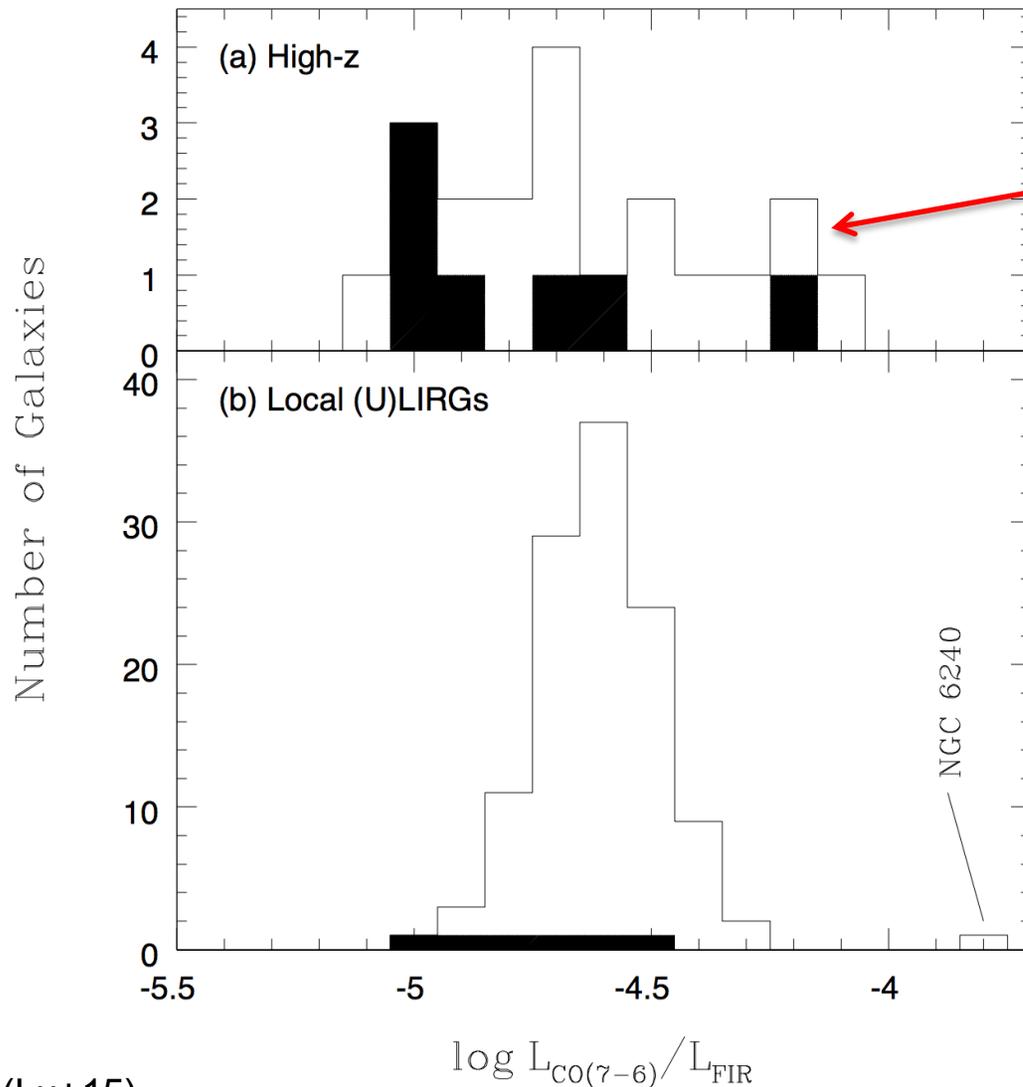


SPIRE/FTS: CO SLED of CND



- The AGN torus has a much smaller ratio of $F_{\text{CO}(6-5)} / S_{\nu}(434 \mu\text{m}) \sim 370 \text{ km s}^{-1}$
- CO (6-5) of the circum-nuclear disk (CND) is likely associated with SF

CO (7-6) as SFR Tracer: Local vs. high z

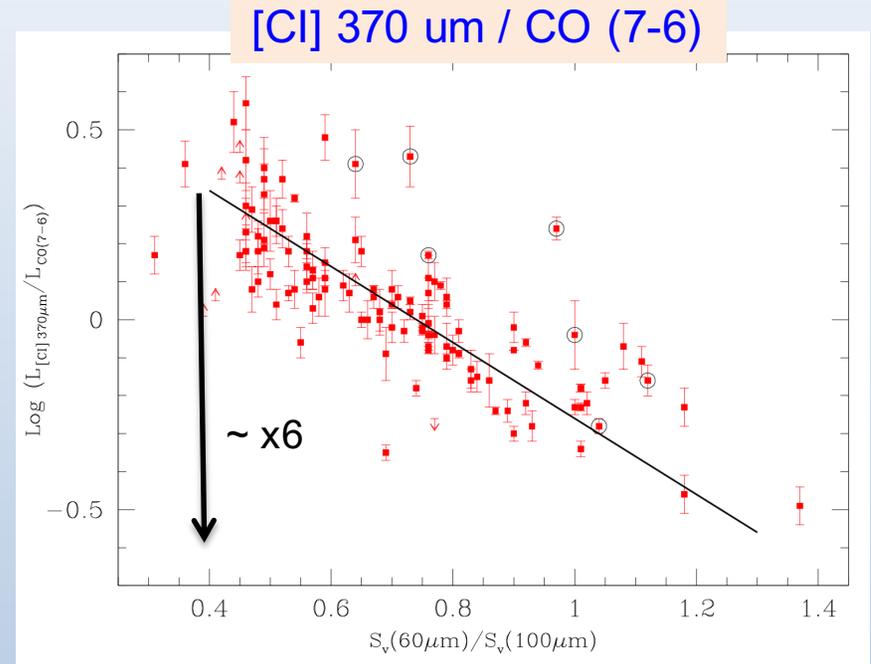
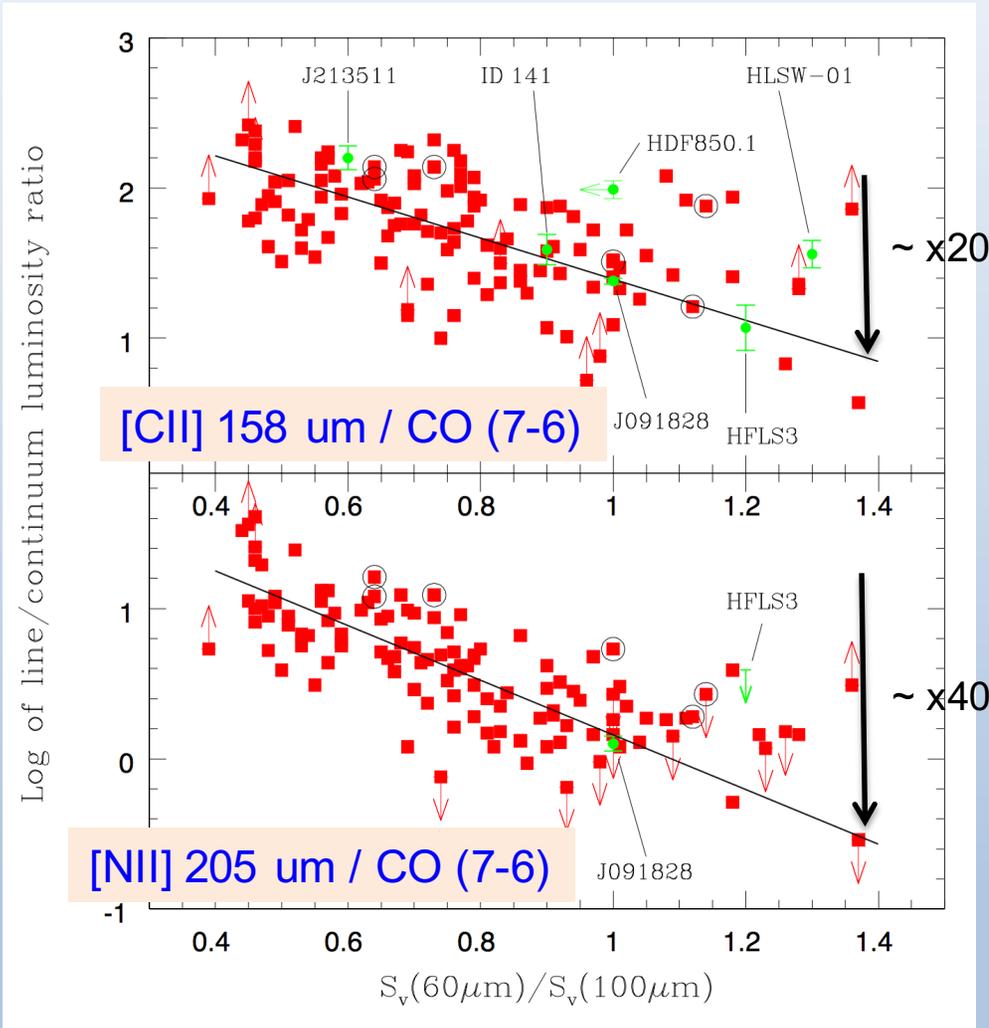


(Lu+15)

SMGs and QSO (shaded histogram) at $z > 2$ with CO (7-6) measurements in the literature prior to 2015 (Lu+2015)

Wider histogram likely due to less accurate L_{FIR} values in the literature

In Contrast, Most Atomic/ionic Gas Cooling Lines Suffer “Energy Deficiency”



The dominant AGNs (circled) here have somewhat higher line luminosity ratios on average, particularly for [CI] 370um, but the AGN sample is still small.

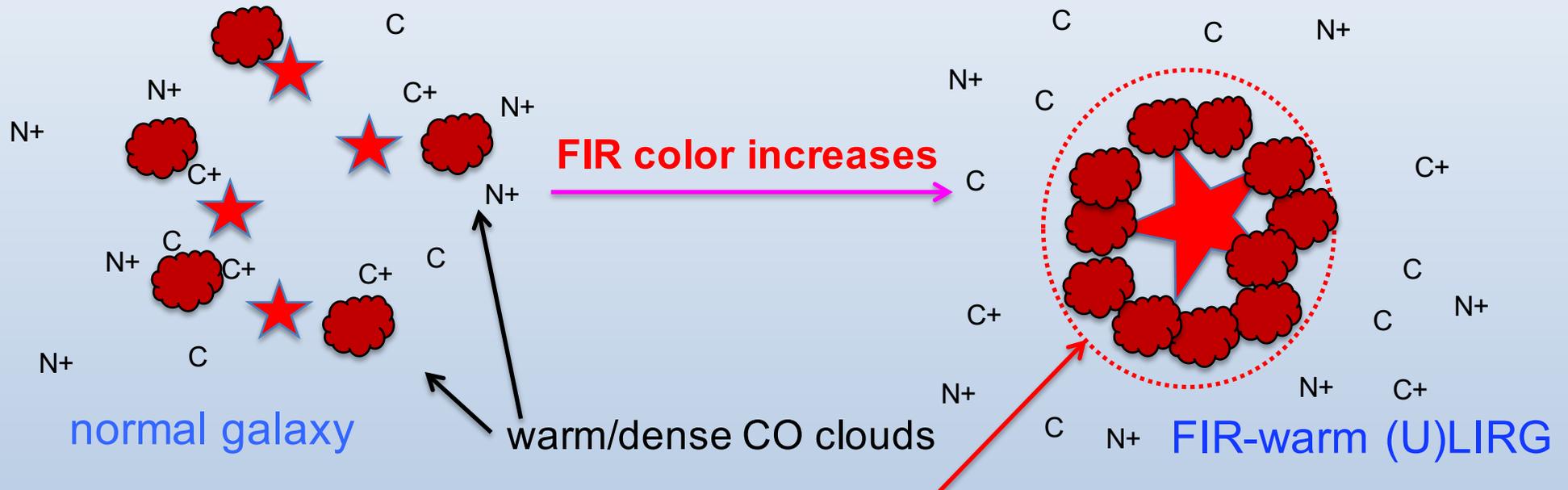
Red: local LIRGs;
Green: available high-z galaxies

(see Lu et al. 2015, 2017a)

Cartoon Model: Normal Galaxies to ULIRGs

Disk SF dominant/significant

SF become more nuclear & more compact



- Highly obscured & high gas density nuclear SF, best probed by L_{IR}
- A gas cooling line is under-represented here if it has a low n_{crit} or/and if it is associated with photon gas heating (because dust is very effective in UV photon absorption $\rightarrow L_{\text{IR}}$),
 i.e., $L_{[\text{NII}]} 205\mu\text{m} / L_{\text{IR}}$, $L_{[\text{CII}]} 158\mu\text{m} / L_{\text{IR}}$, ..., all drop as the FIR color increases
- A mid-J CO line, e.g., CO (6-5) or CO (7-6), competes well against L_{IR} , due to its high n_{crit} and being associated with shock gas heating (thus not impacted by the dust presence),
 therefore, $L_{\text{CO}(6-5)} / L_{\text{IR}}$ or $L_{\text{CO}(7-6)} / L_{\text{IR}}$ remains constant as the FIR color increases

A Pilot ALMA Spectral Line Snapshot Survey of SMGs and QSOs at $z > 4$

ULIRGs:

- Observing, in CO (7-6), [CII] 370 μm , and/or [NII] 205 μm , all 8 galaxies between $4 < z < 5$, $\delta < -10^\circ$, and with a prior [CII] 158 μm detection (see Carilli & Walter 2013). All are ULIRGs ($L_{\text{IR}} > 10^{12} L_{\odot}$)
- 1" beam, ~ 100 km/s resolution
 - Targeting mainly line fluxes



L^* class galaxies (LIRGs): Also observing in [NII], 4 galaxies (with $L_{\text{IR}} \sim 10^{11} L_{\odot}$) at $z \sim 5.5$ with prior [CII] detections in Capak+2015

We hope to infer for each target:

Parameter	Uncertainty*	Estimator	Reference
▪ SFR	$\sim 30\%$	CO(7-6)	Lu+14,15
▪ M_{H_2}	$\sim \times 2$	S_{ν} (372 μm) (or [CII] 370 μm)	Scoville+16 Jiao+17
▪ SFE	$\sim \times 2$	SFR/ M_{H_2}	N/A

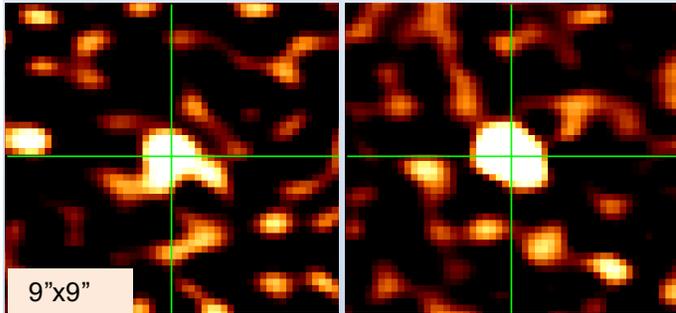
▪ T_{dust} (or FIR color)	~ 2 K	e.g., [NII]/CO(7-6)	Lu+15
▪ Σ_{SFR} (SF surf. density)	$\sim \times 4$	T_{dust} or FIR color	Liu+15; Lutz+16
▪ SF size	$\sim \times 2$	$(\text{SFR} / \Sigma_{\text{SFR}})^{1/2}$	N/A

Bonus: kinematic/dynamic information if enough spectral/spatial resolution is acquired

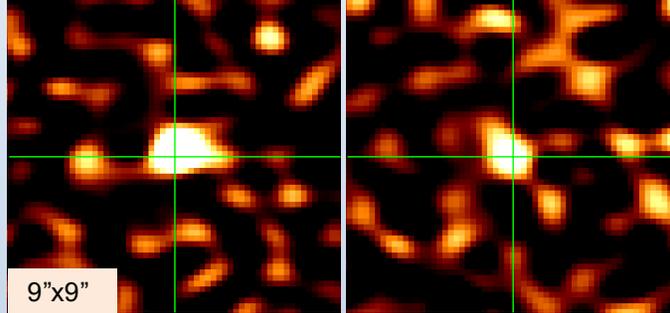
CO(7-6) Imaging Examples: Continuum at 372 μm and Line

On-target integration time of 5 to 15 min should be adequate (S/N > 10)

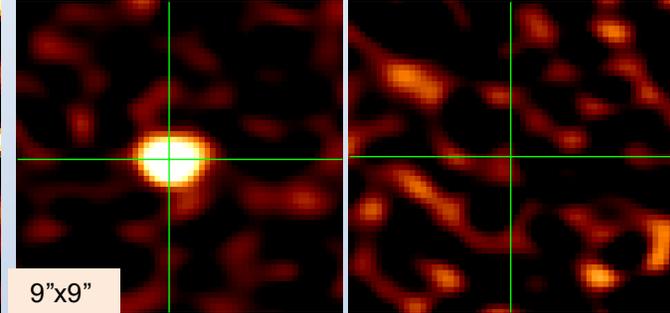
BRI 0952-0115 (QSO; $z = 4.43$: integration = 302 s)



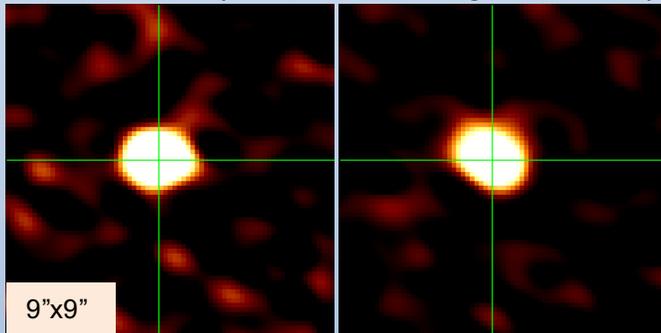
J033229-2756 (SMG; $z = 4.76$: integration = 544 s)



J03324-2800 (SMG; $z = 4.42$: integration = 1875 s)

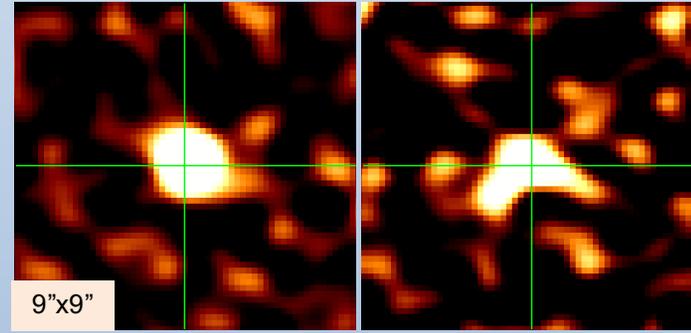


BRI 1335-0417 (QSO; $z = 4.41$: integration = 605 s)



Continuum

J03325-2735 (SMG; $z = 4.44$: integration = 5866 s)



CO(7-6) 100 km/s channel at line frequency

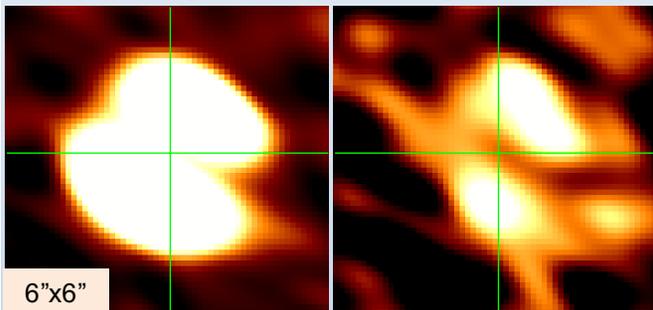


CO(7-6) undetected because the published redshift is incorrect

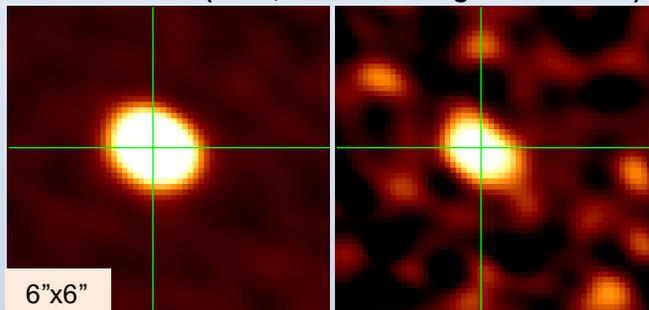
[NII] 205 μm Imaging examples: Continuum and Line

On-target integration time of 10 to 20 min should be adequate (S/N > 10)

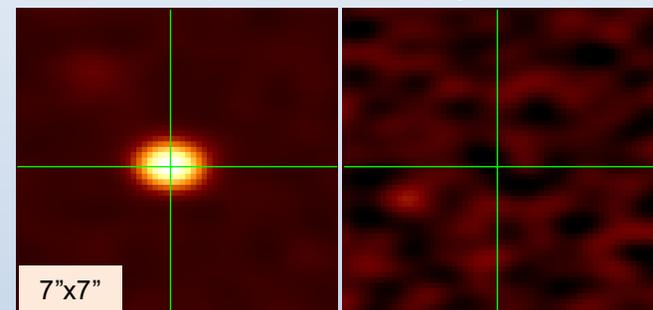
ID 141 (SMG; z = 4.24: integration = 302 s)



BRI 1335-0417 (QSO; z = 4.41: integration = 302 s)

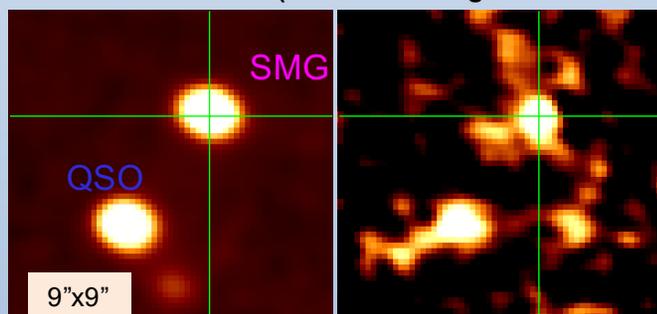


J03324-2800 (SMG; z = 4.42: integration = 3084 s)

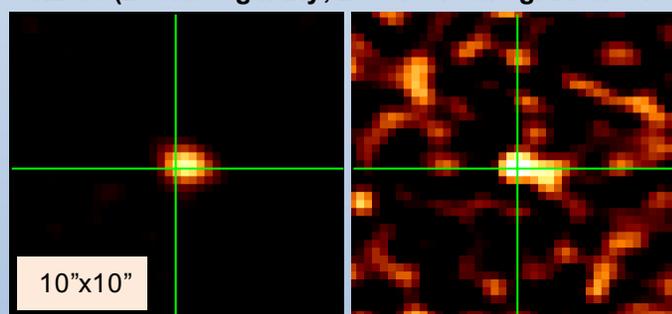


(Cheng+2017)

BRI 1202-0725 (z = 4.24: integration = 2419 s)



HZ 10 (L*-class galaxy; z = 5.66: integration = 1966 s)



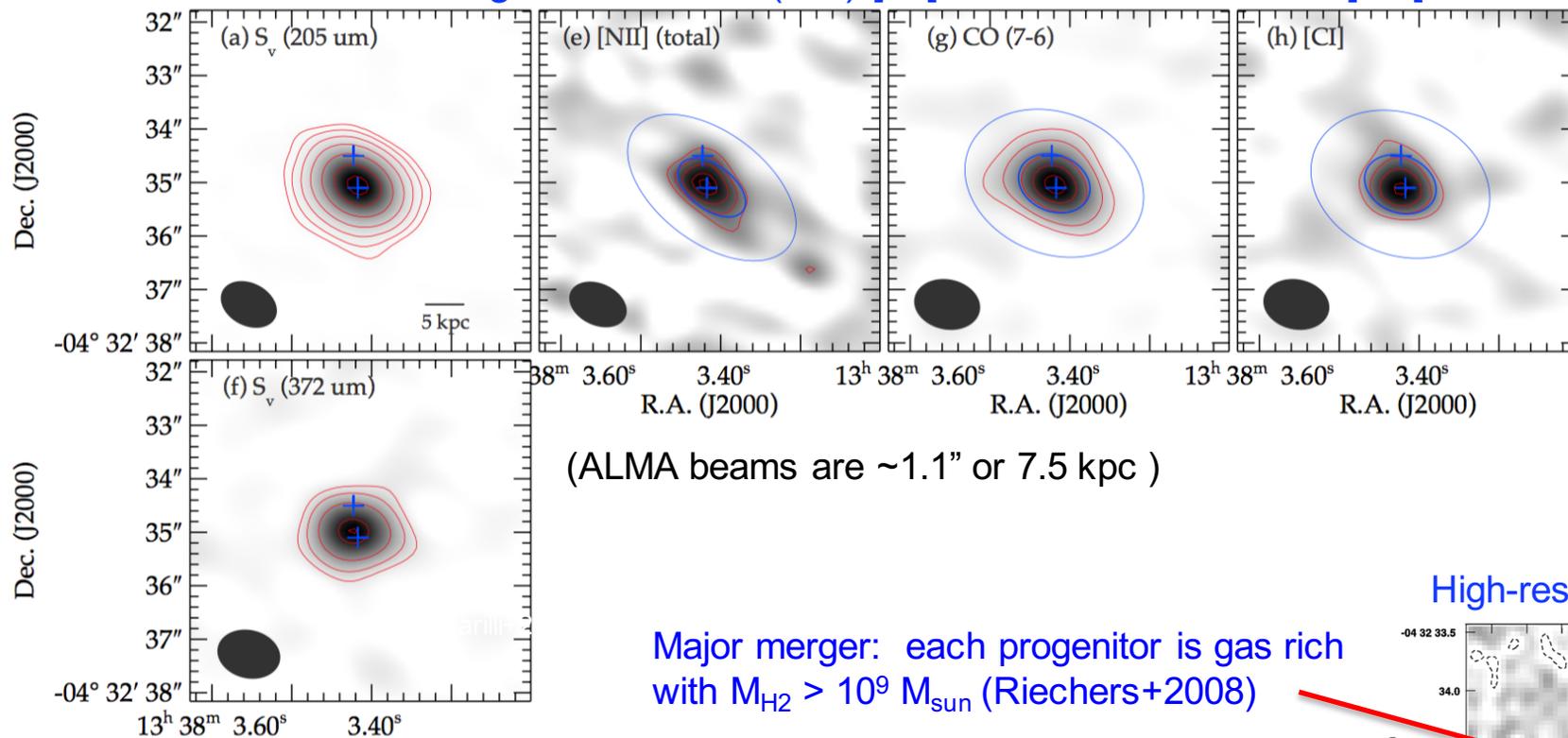
Continuum
 [NII] 100 km/s channel at line frequency

(see Lu+2017b)

[NII] 205 μm undetected because the published redshift is incorrect

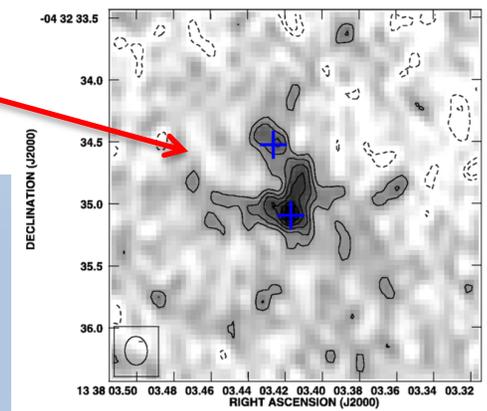
BRI 1335-0417, a QSO and Merger at $z = 4.4$

ALMA: 10 min integration on CO (7-6)/[CI] 370 μm + 5 min on [NII] 205 μm



Major merger: each progenitor is gas rich with $M_{\text{H}_2} > 10^9 M_{\text{sun}}$ (Riechers+2008)

High-res VLA: CO (2-1)

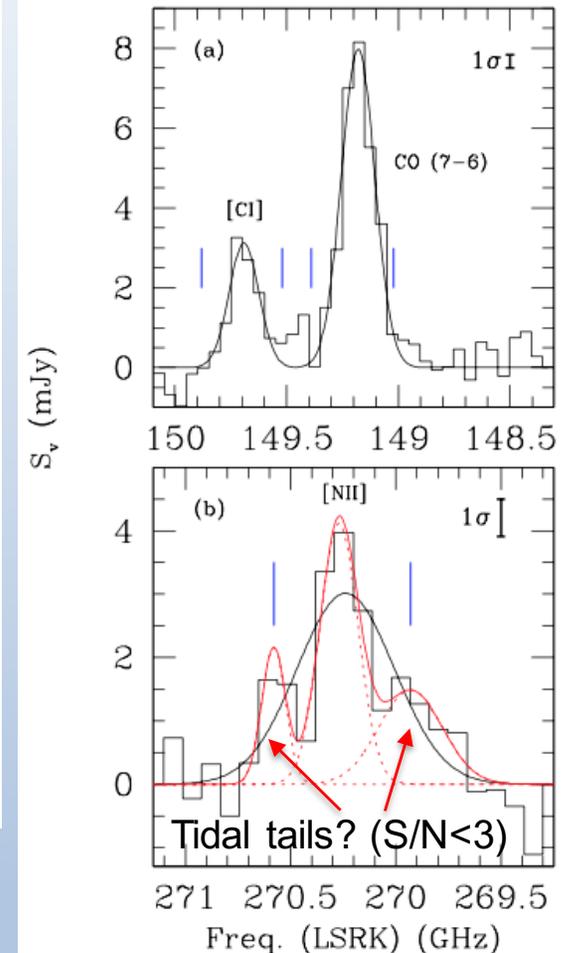
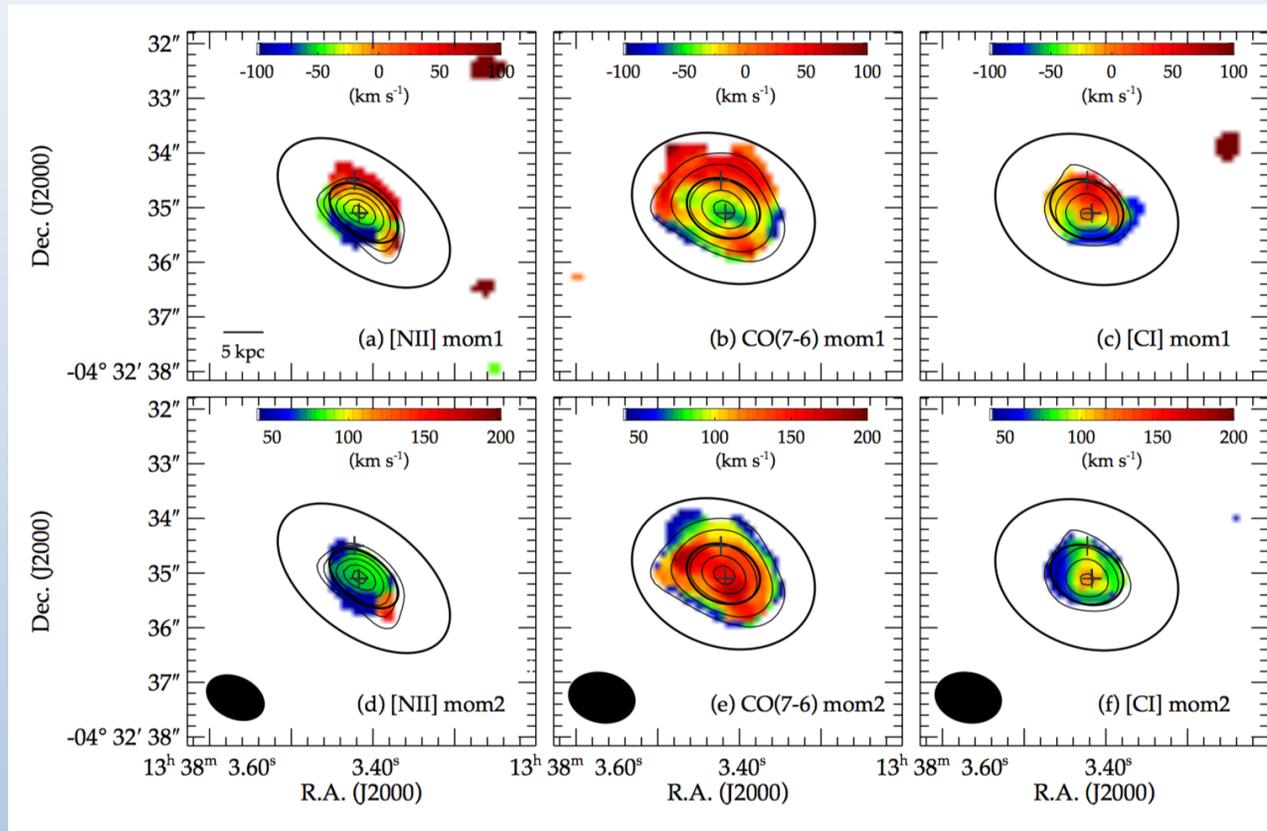


Measured sizes (after beam de-convolution) vary systematically:

- Dust continuum and CO (7-6) (unresolved to barely resolved)
- [CI] 370 μm is marginally resolved: $d_{\text{deconv}} \sim 5 \text{ kpc}$
- [NII] 205 μm is well resolved: $d_{\text{deconv}} \sim 9 \text{ kpc}$

(Lu et al, in prep)

BRI 1335-0417: Line Moments and Profile

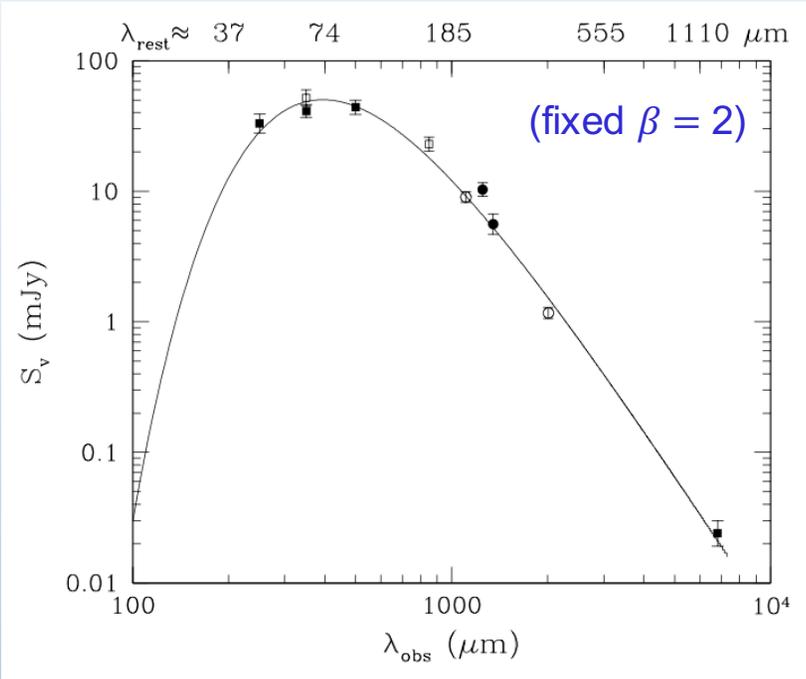


Between three lines:

- Similar velocity fields (-100 to +100 km/s), but
- Significantly larger velocity dispersion for CO (7-6) (up to 200 km/s) than for [CI] or [NII] (only up to 80 to 100 km/s), due to the merger?

(Lu et al, in prep)

BRI 1335-0417, a QSO/Merger at $z = 4.4$



SED fit: $T_{\text{dust}} = 41.5 \text{ K}$ or $C(60/100) \sim 1.1$

Line luminosity ratio (1)	Value (2)	$C(60/100)^a$ (3)	Ref ^b (4)
$\log ([\text{N II}]_{\text{core}}/\text{CO}(7-6))$	$-0.21(\pm 0.06)^c$	$1.20 (\pm 0.15)$	(1)
$\log ([\text{N II}]_{\text{total}}/\text{CO}(7-6))$	$+0.06(\pm 0.06)^c$	$1.06 (\pm 0.15)$	(1)
$\log ([\text{C II}]/\text{CO}(7-6))$	$+1.30(\pm 0.09)^c$	$1.04 (\pm 0.15)$	(1)
$\log ([\text{C I}]/\text{CO}(7-6))$	$-0.47(\pm 0.04)^d$	$1.20 (\pm 0.11)$	(2)
$\text{CO}(7-6)/\text{CO}(5-4)^e$	$+1.30(\pm 0.15)^c$	>1.0	(2)

Line ratios $\rightarrow C(60/100) = 1.1 \pm 0.1$

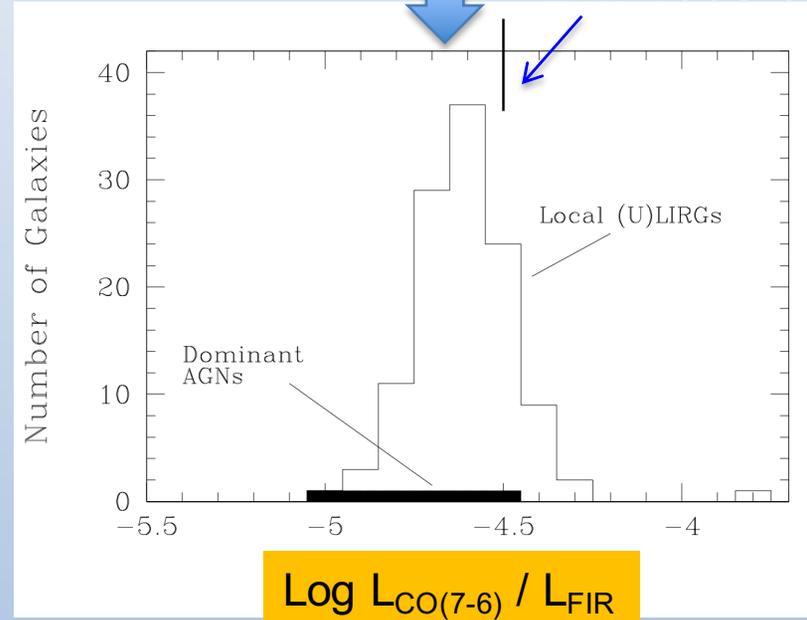


$$L_{\text{FIR}} (\text{QSO}) = 2.0 (\pm 0.1) \times 10^{13} L_{\odot}$$

$$\log L_{\text{CO}(7-6)} / L_{\text{FIR}} \approx -4.50 \pm 0.05$$

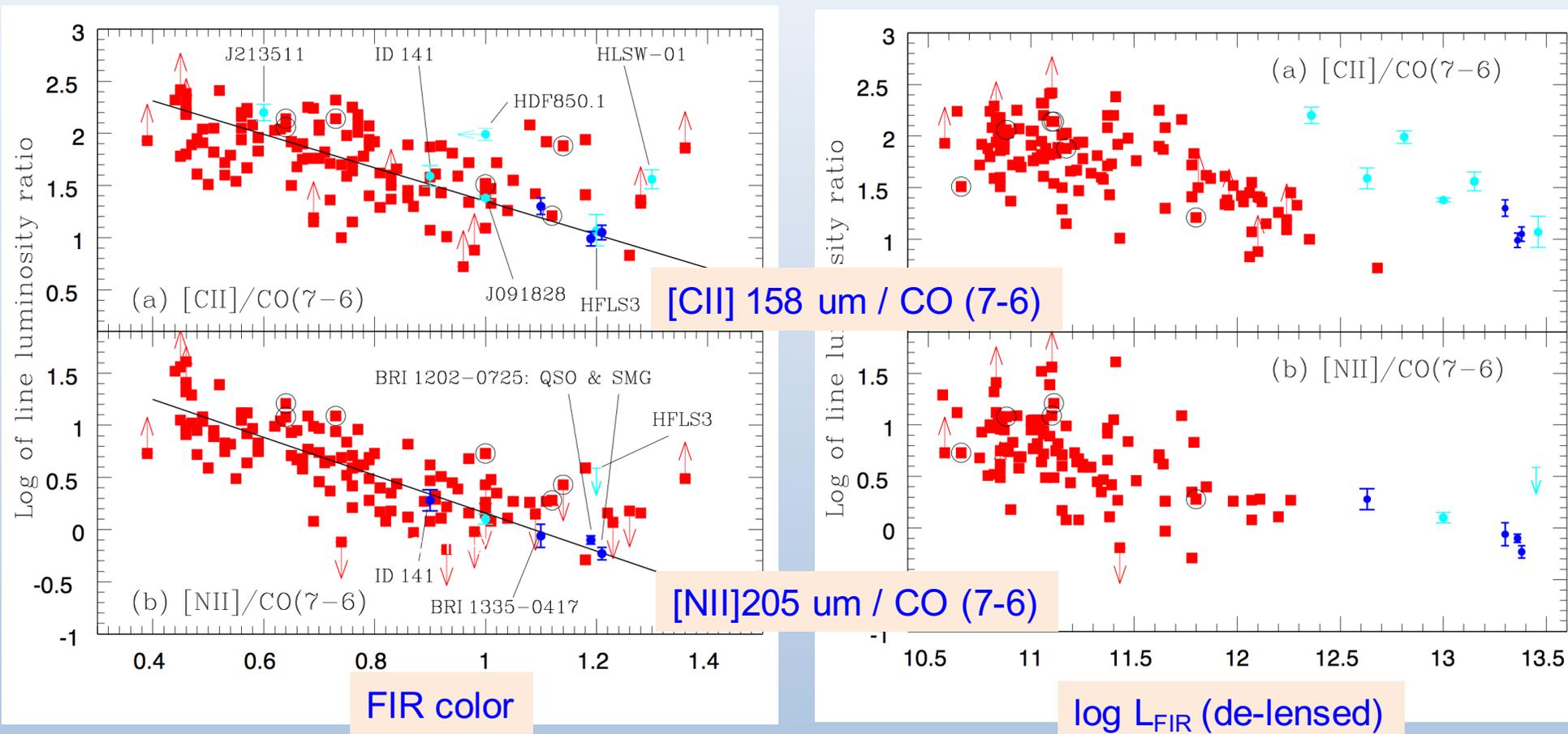


BRI 1335-0417



- $\text{SFR} \sim 5.1(1 \pm 30\%) \times 10^3 M_{\text{sun}} \text{ yr}^{-1}$
- $M_{\text{gas}} \sim 5 \times 10^{11} M_{\text{sun}}$
- SF region diameter $\sim 1.7 \text{ kpc}$
- SF surface density $\sim 1 \times 10^3 M_{\text{sun}} \text{ yr}^{-1} \text{ kpc}^{-2}$

Star Formation: Local vs. High Redshifts



- Red: local LIRGs
- Cyan: high-z LIRGs from the literature
- Blue: some high-z LIRGs from our own ALMA observations

➔ High-z ULIRGs: a larger SF region rather than a higher SF surface density

Summary

- Base on *Herschel* observations, the flux of a mid-J CO line, such as CO (7-6), is a robust and accurate tracer of SFR for luminous galaxies, where
 - “robustness”: largely free from AGN contamination, independent of whether the SF is dominated by disk or nuclear SF
 - “accuracy”: ~30% accuracy
- In contrast, many atomic/ionic gas cooling lines, e.g., [CII] 158 um and [NII] 205 um, are poor SF tracers of the SFR, their significant anti-correlation with SFR as the FIR color (or T_{dust}) of a galaxy increases can be used to infer T_{dust} (or SFR surface density)
- A dual line snapshot strategy is proposed for and experimented with ALMA, involving the CO (7-6) line, plus any one of the following: [NII] 205um, [CII] 158 um and [CI] 370 um
- Our analysis shows that the increased SFR in the brightest LIRGs at $z > 4$ is primarily due to a larger star forming region rather than a higher SF surface density

END
